

Guarantee Advice Closure User Guide

Oracle Banking Trade Finance Process Management

Release 14.6.0.0

Part No. F57088-01

May 2022

Oracle Banking Trade Finance Process Management - Guarantee Advice Closure User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance Middle Office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps Banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Guarantee Advice Closure

Guarantees/SBLC have a pre-scheduled auto closure date, a few days after the expiry of undertaking. This process enables, closure of a Guarantee/SBLC after the expiry date but before the auto closure date. In the following sections, let's look at the details for Guarantee Advising process:

This section contains the following topics:

[Registration](#)

[Document Linkage](#)

[Multi Level Approval](#)

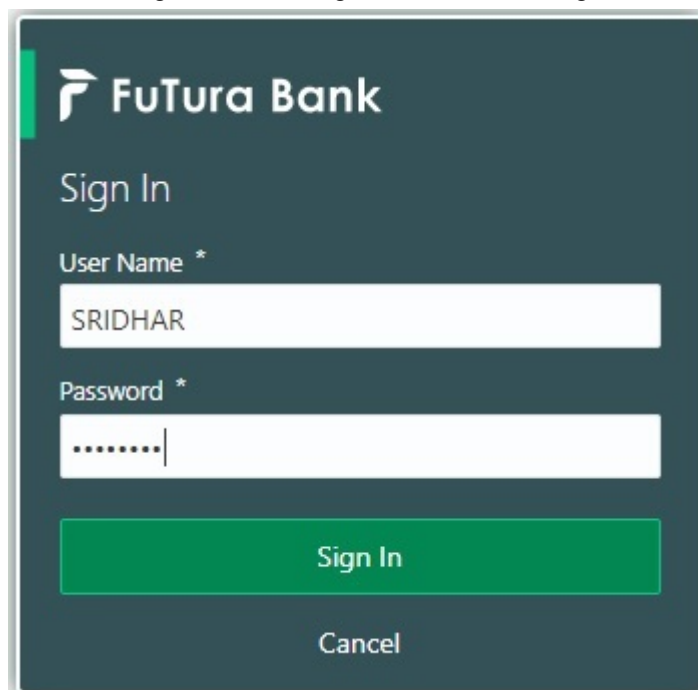
[Customer - Acknowledgement letter Format](#)

Registration

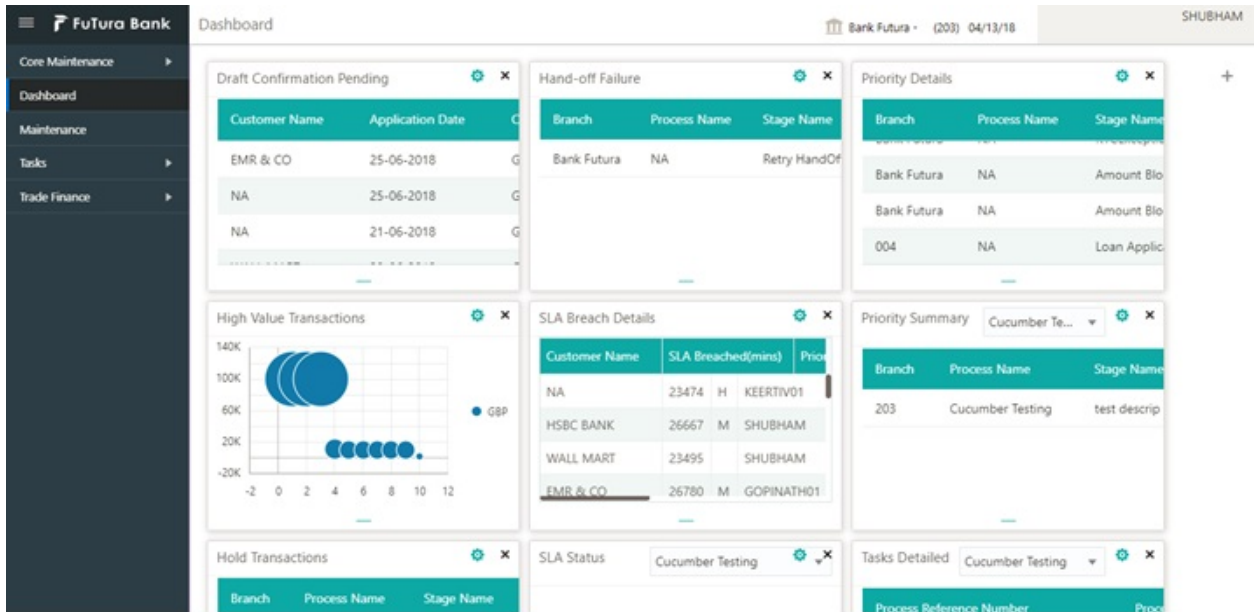
As a registration user, you can register a Guarantee Advice closure request, also can upload relevant documents and verify checklist items. If Guarantee to be advised is received as physical instrument, the Guarantee Advice process starts from the Registration Stage.

During registration stage, user can capture the basic details, check the signature of the authorized signatory of the Guarantee Issuing Bank and upload the guarantee. It also enables the user to capture some additional product related details as an option. On submit, the request will be available for an Guarantee Advice expert to handle the request in the next stage

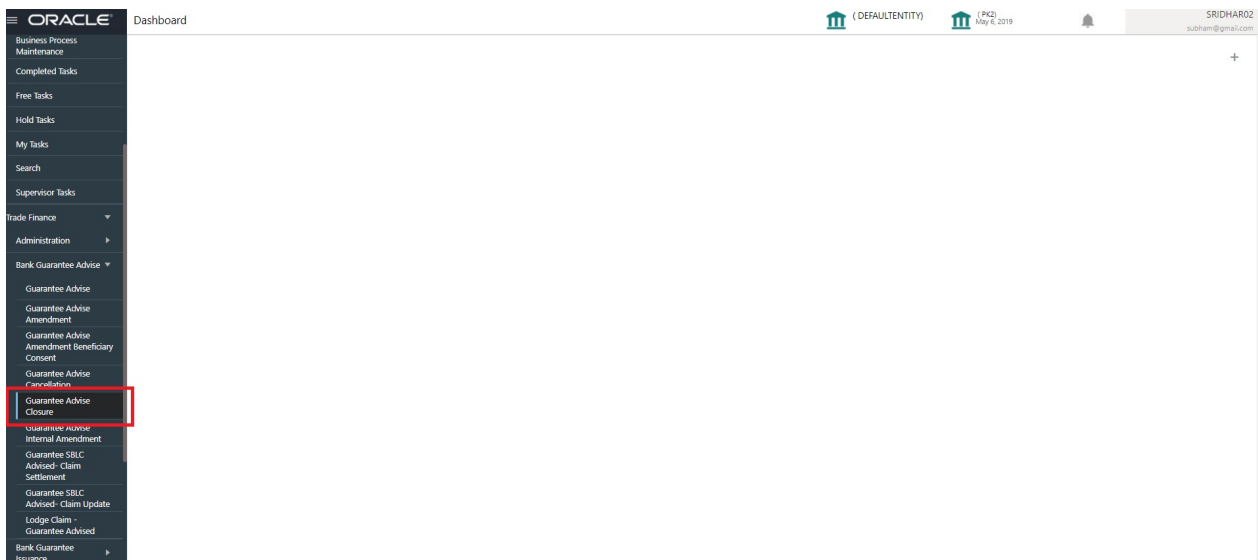
1. Using the entitled login credentials for registration stage, login to the OBTFPM application.

The image shows a login interface for FuTura Bank. At the top left is the FuTura Bank logo, which consists of a stylized 'F' icon followed by the text 'FuTura Bank'. Below the logo is the heading 'Sign In'. There are two input fields: 'User Name *' with the text 'SRIDHAR' entered, and 'Password *' with masked characters '.....'. Below the password field is a green 'Sign In' button. At the bottom of the form is a 'Cancel' link.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click **Trade Finance> Bank Guarantee Advice> Guarantee Advise Closure.**



The registration stage has two sections Application Details and Guarantee Details. Let's look at the registration stage:

Application Details

Guarantee Advise Closure

Documents Remarks Customer Instruction Common Group Messages

Application Details

Advising Bank Reference Number PK2GUAD211258003	Beneficiary * 001044 GOODCARE PLC	Branch * PK2-Oracle Banking Trade Finan...	32B - Currency Code, Amount * GBP £11,000.00
Amount In Local Currency GBP £11,000.00	Priority * Medium	Submission Mode * Desk	Process Reference Number PK2GTAC000011390
Transaction Date May 5, 2021	Issuer * 003763 CITIBANK IRELA	View Guarantee/SBLC Guarantee/SBLC Events	

Guarantee Details

22D - Form of Undertaking GUAD	Product Code GUAD	Product Description Guarantee Advising	20 - Undertaking Number
22A - Purpose of Message 	23X - File Identification 	23X - Narrative 	22K - Type of Undertaking
22K - Narrative 	30 - Date of Issue May 5, 2021	23B - Expiry Type COND	Date of Expiry Aug 3, 2021
35G - Expiry Condition/Event dfggf	Applicant 001043 MARKS AND SP	51- Obligor/ Instructing Party 	40C - Applicable Rules None - Not subject to any rules
40C - Narrative OTHR	39D - Additional Amounts 	Auto Close ☐	Closure Date Sep 2, 2021
Hold Cancel Save & Close Submit			

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Advising Bank Reference Number	The user can input the Advising Reference.. Alternatively, user can search the Advising Bank Reference Number using LOV. As part of LOV criteria; user can input the Customer Id, Beneficiary name, Currency and amount.	
Beneficiary	Read only field. The Beneficiary of Guarantee/SBLC as per the latest Guarantee/SBLC details should be displayed.	Toggle off
Branch	Read only field. System will default the branch from Guarantee Advise.	
Currency Code, Amount	The amount of Undertaking as per the latest Guarantee/SBLC details should be displayed..	GBP, 1200
Amount In Local Currency	System fetches the local currency equivalent value for the transaction amount from back office (with decimal places).	
Priority	This field will be defaulted based on the priority maintenance, also enables the user to change the priority as per the requirement. Set the priority of the Guarantee Advice request as Low/Medium/High. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High

Field	Description	Sample Values
Submission Mode	Select the submission mode of Guarantee Advice request. By default the submission mode will have the value as 'Desk'. Desk - Request received through Desk Courier - Request received through Courier	Desk
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEADV0015920
Transaction Date	System will default branch date.	04/13/2018
Issuer	The Issuing Bank as per the latest Guarantee/SBLC details should be displayed.	

Guarantee Details

Registration user can provide Guarantee Details in this section. Alternately, Guarantee Details can be provided by DE user.

Guarantee Details

22D - Form of Undertaking ▼	Product Code GUAD	Product Description Guarantee Advising	20 - Undertaking Number
22A - Purpose of Message ▼	23X - File Identification ▼	23X - Narrative 	22K - Type of Undertaking ▼
22K - Narrative 	30 - Date of Issue May 5, 2021	23B - Expiry Type COND	Date of Expiry Aug 3, 2021
35G - Expiry Condition/Event dfggf	Applicant 001043 MARKS AND SP	51 - Obligor / Instructing Party	40C - Applicable Rules None - Not subject to any rules
40C - Narrative OTHR	39D - Additional Amounts 	Auto Close ☐	Closure Date Sep 2, 2021
Hold Cancel Save & Close Submit			

Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Form of Undertaking	Read only field. Form of Undertaking (Guarantee/Standby LC) as per the latest Guarantee/SBLC details is displayed.	
Product Code	Read only field. The product code used for SBLC/Guarantee advise should be displayed.	GUIA
Product Description	Read only field. The Product description as per the latest Guarantee/SBLC advise is displayed.	Guarantee Advising
Undertaking Number	The undertaking number as per the latest Guarantee/SBLC advise is displayed.	
Purpose of message	Read Only – The Purpose of message (ACNF/ADVI) used during SBLC/Guarantee advise should be displayed.	

Field	Description	Sample Values
File Identification	Read Only - The File Identification as per the latest Guarantee/SBLC advise details should be displayed. only and populated from Incoming MT 760.	
Narrative	Read Only – Any kind of Narrative/Additional text as per the latest Guarantee/SBLC advise details should be displayed.	
Type of Undertaking	Read only field. The type of Undertaking as per the latest Guarantee/SBLC advise details is displayed.	Financial Guarantee
Narrative	Read Only – Any kind of Narrative/Additional text as per the latest Guarantee/SBLC advise details should be displayed.	
Date of Issue	Read Only - The date of issue as per the latest Guarantee/SBLC advise details should be displayed.	04/13/18
Expiry Type	Read only field. The type of Expiry as per the latest Guarantee/SBLC advise details is displayed.	
Date Of Expiry	Read only field. The date of Expiry as per the latest Guarantee/SBLC advise details is displayed.	09/30/18
Expiry Condition/Event	Read only field. The expiry condition/event as per the latest Guarantee/SBLC advise details is displayed.	
Applicant	Read only field. Applicant details will be auto populated based on the details provided in Application Details section.	001345 Nestle
Obligor/Instructor Party	Read Only - The Obligor/Instructing Party as per the latest Guarantee/SBLC advise details should be displayed.	
Application Rules	Read Only - Applicable Rules as per the latest Guarantee/SBLC advise details should be displayed.	URDG - Uniform rules for demand guarantees
Narrative	Read Only – Any kind of Narrative/Additional text as per the latest Guarantee/SBLC advise details should be displayed.	
Additional Amounts	Read only field. Any additional amounts related to undertaking as per the latest Guarantee/SBLC advise details is displayed.	

Field	Description	Sample Values
Auto Close	Read only field. System default the value from the previous versions of the contracts.	
Closure Date	Read only field. System default the value from the previous versions of the contracts.	

Miscellaneous

Guarantee Advise Closure

Documents Remarks Customer Instruction Common Group Messages

Application Details

Advising Bank Reference Number
PK2GUAD211258003

Amount In Local Currency
GBP £11,000.00

Transaction Date
May 5, 2021

Beneficiary *
001044 GOODCARE PLC

Priority *
Medium

Issuer *
003763 CITIBANK IRELA

Branch *
PK2-Oracle Banking Trade Finan...

Submission Mode *
Desk

32B - Currency Code, Amount *
GBP £11,000.00

Process Reference Number
PK2GTAC000011390

View Guarantee/SBLC Guarantee/SBLC Events

Guarantee Details

22D - Form of Undertaking

22A - Purpose of Message

22K - Narrative

35G - Expiry Condition/Event
dfggf

40C - Narrative
OTHR

Product Code
GUAD

23X - File Identification

30 - Date of Issue
May 5, 2021

Applicant
001043 MARKS AND SP

39D - Additional Amounts

Product Description
Guarantee Advising

23X - Narrative

23B - Expiry Type
COND

51- Obligor/ Instructing Party

Auto Close

20 - Undertaking Number

22K - Type of Undertaking

Date of Expiry
Aug 3, 2021

40C - Applicable Rules
None - Not subject to any rules

Closure Date
Sep 2, 2021

Hold Cancel Save & Close Submit

Enables the user to upload required documents. Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.: The possible documents submitted under Guarantee/SBLC Advise closure request are: 1. Guarantee/SBLC Advise Closure request	
Remarks	Provide any additional information regarding the Guarantee Advice. This information can be viewed by other users processing the request.	

Field	Description	Sample Values
Customer Instructions	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Action Buttons		
Submit	On Submit, system will give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Guarantee Advice Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledge.	

Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.

Documents

Document Status: All

Letter of Credit

Pro-forma Invoice

⬆

Letter of Credit

Application Form

⬆

+

Close

3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document

Document Type *
Letter of Credit

Document Title *

Remarks

Drop files here or click to select

Selected files: []

Document Code *
Insurance Policy

Document Description

Document Expiry Date

[Link Document](#)

Upload Link Cancel

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	

Field	Description	Sample Values
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	

Field	Description	Sample Values
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.

Documents

Document Status: All

Letter of Credit
Pro-forma Invoice

Letter of Credit
Application Form

wqwq.png

Created - 2022-06-28
By - PERI01

Close

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Document screen appears.

Edit Document

Document Id: 2400

Application Reference Number: PK2ILCI000019041

Document Type Id: TFPM_DOCTYPE001

Document Title: wqwq

Entity Reference Number: PK2ILCI000019041

Document Description:

Document Expiry Date: Jun 29, 2022

Remarks:

Drop files here or click to select

Current selected files: []

Update Cancel

Data Enrichment

On successful completion of registration of an Guarantee advice closure request, the request moves to DE stage.

Guarantee Advice requests that were received at the desk will move to DE stage post successful registration. The request will have the details entered during the registration stage.

The DE user can view the latest Guarantee/SBLC Advise values displayed in the respective fields.

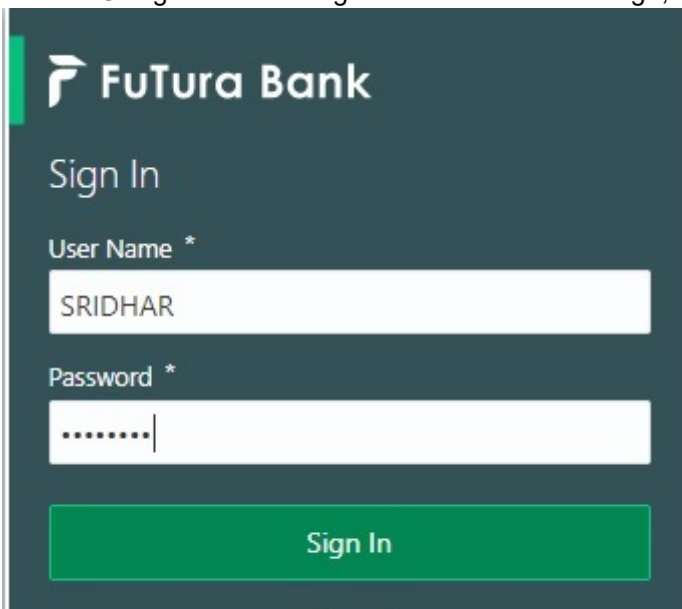


Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task currently at DE stage:

1. Using the entitled login credentials for DE stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The screenshot shows the Futura Bank Dashboard. The left sidebar contains navigation links: Core Maintenance, Dashboard, Maintenance, Tasks, and Trade Finance. The main dashboard area displays several widgets:

- Draft Confirmation Pending:** A table with columns Customer Name, Application Date, and Status. Data rows include EMR & CO (25-06-2018), NA (25-06-2018), and NA (21-06-2018).
- Hand-off Failure:** A table with columns Branch, Process Name, and Stage Name. Data row: Bank Futura, NA, Retry HandOff.
- Priority Details:** A table with columns Branch, Process Name, and Stage Name. Data rows include Bank Futura (NA, Amount Blo), Bank Futura (NA, Amount Blo), and 004 (NA, Loan Applic).
- High Value Transactions:** A bubble chart showing transaction values on the Y-axis (ranging from -20K to 140K) and categories on the X-axis (ranging from -2 to 12). A legend indicates GBP.
- SLA Breach Details:** A table with columns Customer Name, SLA Breached(mins), and Priority. Data rows include NA (23474, H, KEERTIVO1), HSBC BANK (26667, M, SHUBHAM), WALL MART (23495, SHUBHAM), and EMR & CO (26780, M, GOPINATH01).
- Hold Transactions:** A table with columns Branch, Process Name, and Stage Name.
- SLA Status:** A dropdown menu currently showing 'Cucumber Testing'.
- Priority Summary:** A table with columns Branch, Process Name, and Stage Name. Data row: 203, Cucumber Testing, test descrip.
- Tasks Detailed:** A table with columns Process Reference Number and Process Name.

3. Click **Trade Finance> Tasks> Free Tasks**.

The screenshot shows the Oracle Free Tasks page. The left sidebar contains navigation links: Core Maintenance, Dashboard, Machine Learning, Maintenance, Security Management, Tasks, Free Tasks (highlighted), Hold Tasks, My Tasks, Search, Supervisor Tasks, and Trade Finance. The main area displays a table of tasks:

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
☐ Acquire & E...	M	Guarantee Advice Closure	PK2GTAC000056649	PK2GTAC000056649	DataEnrichment	21-05-24	PK2	001044
☐ Acquire & E...		Export LC Advise	PK2ELCA000056648	PK2ELCA000056648	Scrutiny	21-05-24	PK2	001204
☐ Acquire & E...		Export LC Advise	PK2ELCA000056647	PK2ELCA000056647	Scrutiny	21-05-24	PK2	001204
☐ Acquire & E...		Guarantee Issuance	PK2GTEI000056646	PK2GTEI000056646	Scrutiny	21-05-24	PK2	006217
☐ Acquire & E...		Guarantee Issuance	PK2GTEI000056645	PK2GTEI000056645	Scrutiny	21-05-24	PK2	006217
☐ Acquire & E...		Guarantee Issuance	PK2GTEI000056643	PK2GTEI000056643	Scrutiny	21-05-24	PK2	006217
☐ Acquire & E...		Guarantee Issuance	PK2GTEI000056642	PK2GTEI000056642	Scrutiny	21-05-24	PK2	006217
☐ Acquire & E...		Guarantee Issuance	PK2GTEI000056641	PK2GTEI000056641	Scrutiny	21-05-24	PK2	006217
☐ Acquire & E...		Guarantee Issuance	PK2GTEI000056640	PK2GTEI000056640	Scrutiny	21-05-24	PK2	006217
☐ Acquire & E...		Guarantee Issuance	PK2GTEI000056638	PK2GTEI000056638	Scrutiny	21-05-24	PK2	006217
☐ Acquire & E...		Guarantee Issuance	PK2GTEI000056637	PK2GTEI000056637	Scrutiny	21-05-24	PK2	006217
☐ Acquire & E...		Import LC Liquidation	PK2ILCL000056636	PK2ILCL000056636	DataEnrichment	21-05-24	PK2	001044
☐ Acquire & E...		Import LC Drawing Update	PK2ILCU000056635	PK2ILCU000056635	Scrutiny	21-05-24	PK2	001044

Page 1 of 196 (1 - 20 of 3903 items) | K < 1 2 3 4 5 ... 196 > X

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

The screenshot shows the Oracle Free Tasks page, similar to the previous one, but with the 'Acquire & Edit' button highlighted for the first task in the list. The table structure and data are identical to the previous screenshot.

Page 1 of 196 (1 - 20 of 3903 items) | K < 1 2 3 4 5 ... 196 > X

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to edit the registered task.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
☒ Edit	M	Guarantee Advice Clos...	PK2GTAC000056649	PK2GTAC000056649	DataEnrichment	21-05-24	PK2	001044	
☐ Edit	M	Drawings Under Transfe...	PK2TLC0000056575	PK2TLC0000056575	KYC Exceptional approval	21-05-23	PK2	000152	
☐ Edit		Drawings Under Transfe...	PK2TLC0000056573	PK2TLC0000056573	Registration	21-05-23	PK2	000150	
☐ Edit		Import LC Liquidation	PK2ILCL0000056570	PK2ILCL0000056570	DataEnrichment	21-05-22	PK2		
☐ Edit		Export LC Transfer Ame...	PK2ELCT0000056562	PK2ELCT0000056562	Registration	21-05-22	PK2	001044	
☐ Edit	M	Export LC Transfer Ame...	PK2ELCT0000056556	PK2ELCT0000056556	DataEnrichment	21-05-22	PK2	001044	
☐ Edit		Export LC Transfer Ame...	PK2ELCT0000056554	PK2ELCT0000056554	Registration	21-05-22	PK2	001044	
☐ Edit	M	Export LC Transfer Ame...	PK2ELCT0000056552	PK2ELCT0000056552	KYC Exceptional approval	21-05-22	PK2	001044	
☐ Edit	M	Export LC Amendment B...	PK2ELCA0000056551	PK2ELCA0000056551	DataEnrichment	21-05-22	PK2	001044	
☐ Edit		Import LC Issuance	PK2ILCI0000056548	PK2ILCI0000056548	Scrutiny	21-05-22	PK2	001044	
☐ Edit	M	Export LC Transfer Ame...	PK2ELCT0000056498	PK2ELCT0000056498	KYC Exceptional approval	21-05-21	PK2	000156	
☐ Edit	M	Guarantee SBLC Advise...	PK2GADC0000056493	PK2GADC0000056493	DataEnrichment	21-05-20	PK2	001044	
☐ Edit	M	Guarantee SBLC Advise...	PK2GADC0000056467	PK2GADC0000056467	AmountBlock Exception App...	21-05-20	PK2	001044	
☐ Edit		Guarantee SBLC Advise...	PK2GADC0000056436	PK2GADC0000056436	AmountBlock Exception App...	21-05-20	PK2	001044	

The DE stage has three sections as follows:

- Main Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for DE stage. User can enter/update the following fields. Some of the fields that are already having value from registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority** field. Refer to [Application Details](#) for more information of the fields.

Guarantee Advice Closure
DataEnrichment :: Application No:- PK2GTAC000071141

DocumentsRemarksOverridesCustomer InstructionCommon Group MessagesView Undertaking

Main Details

Additional Fields

Advices

Additional Details

Settlement Details

Summary

Main Details

Application Details

Guarantee Details

Advising Bank Reference Number

PK2GUAD211253503

Amount In Local Currency

GBP£10,000.00

Transaction Date

May 5, 2021

22D - Form of Undertaking

22A - Purpose of Message

22K - Narrative

35G - Expiry Condition/Event

dfggf

40C - Narrative

OTHR

Beneficiary

Priority

Medium

Issuer

Product Code

GUAD

23X - File Identification

30 - Date of Issue

May 5, 2021

Applicant

39D - Additional Amounts

Branch

PK2-Oracle Banking Trade Finan...

Submission Mode

Desk

Product Description

Guarantee Advising

23X - Narrative

23B - Expiry Type

COND

51- Obligor/ Instructing Party

Auto Close

32B - Currency Code, Amount

GBPE£10,000.00

Process Reference Number

PK2GTAC000071141

20 - Undertaking Number

22K - Type of Undertaking

Date of Expiry

Aug 3, 2021

40C - Applicable Rules

None - Not subject to any rules

Closure Date

Sep 2, 2021

Audit

RejectReferHoldCancelSave & CloseBackNext

Screen (1 / 6)

Field	Description	Sample Values
Advising Bank Reference Number	Read Only - The Advising Bank Reference Number as per the latest Guarantee/SBLC advise details should be displayed.	
Beneficiary	Read only field. The Beneficiary of Guarantee/SBLC as per the latest Guarantee/SBLC advise details should be displayed.	Toggle off
Branch	Read only field. System will default the branch from Guarantee Advise.	
Currency code, Amount	The amount of Undertaking as per the latest Guarantee/SBLC advise details should be displayed..	GBP
Amount In Local Currency	System fetches the local currency equivalent value for the transaction amount from back office (with decimal places).	
Priority	This field will be defaulted based on the priority maintenance, also enables the user to change the priority as per the requirement. Set the priority of the Guarantee Advice request as Low/Medium/High. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High
Submission Mode	Read Only - Submission mode should default as 'Desk'. User should be allowed to change the defaulted mode to another mode. Allowed values are Desk, Fax, Email and Courier.	Desk
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEADV00 15920
Transaction Date	Read Only - System will default branch date.	04/13/2018
Issuer	Read Only - The Issuing Bank as per the latest Guarantee/SBLC details should be displayed.	

Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in

Registration. Refer to [Guarantee Details](#) for more information of the fields.

Field	Description	Sample Values
Form of Undertaking	Read only field. Form of Undertaking (Guarantee/Standby LC) as per the latest Guarantee/SBLC details is displayed.	
Product Code	Read only field. The product code used for SBLC/Guarantee advise should be displayed.	GUIA
Product Description	Read only field. The Product description as per the latest Guarantee/SBLC advise is displayed.	Guarantee Advising
Undertaking Number	The undertaking number as per the latest Guarantee/SBLC advise is displayed.	
Purpose of message	Read Only – The Purpose of message (ACNF/ ADVI) used during SBLC/Guarantee advise should be displayed.	
File Identification	Read Only - The File Identification as per the latest Guarantee/SBLC advise details should be displayed. only and populated from Incoming MT 760.	
Narrative	Read Only – Any kind of Narrative/Additional text as per the latest Guarantee/SBLC advise details should be displayed.	
Type of Undertaking	Read only field. The type of Undertaking as per the latest Guarantee/SBLC advise details is displayed.	Financial Guarantee
Narrative	Read Only – Any kind of Narrative/Additional text as per the latest Guarantee/SBLC advise details should be displayed.	
Date of Issue	Read Only - The date of issue as per the latest Guarantee/SBLC advise details should be displayed.	04/13/18

Field	Description	Sample Values
Expiry Type	Read only field. The type of Expiry as per the latest Guarantee/SBLC advise details is displayed.	
Date Of Expiry	Read only field. The date of Expiry as per the latest Guarantee/SBLC advise details is displayed.	09/30/18
Expiry Condition/Event	Read only field. The expiry condition/event as per the latest Guarantee/SBLC advise details is displayed.	
Applicant	Read only field. Applicant details will be auto populated based on the details provided in Application Details section.	001345 Nestle
Obligor/Instructor Party	Read Only - The Obligor/Instructing Party as per the latest Guarantee/SBLC advise details should be displayed.	
Application Rules	Read Only - Applicable Rules as per the latest Guarantee/SBLC advise details should be displayed.	URDG - Uniform rules for demand guarantees
Narrative	Read Only – Any kind of Narrative/Additional text as per the latest Guarantee/SBLC advise details should be displayed.	
Additional Amounts	Read only field. Any additional amounts related to undertaking as per the latest Guarantee/SBLC advise details is displayed.	
Auto Close	Read only field. System default the value from the previous versions of the contracts.	
Closure Date	Read only field. System default the value from the previous versions of the contracts.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	

Field	Description	Sample Values
Remarks	Click the Remarks icon to provide any additional information regarding the Guarantee Advise. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Guarantee Advice Closure DE inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Additional Fields

DE user can view the additional fields implemented by the bank for Guarantee Advise Closure. Banks can configure these additional fields during implementation.

The screenshot displays the Oracle Flexcube Universal Banking interface. The top navigation bar includes the Oracle logo, 'My Tasks', and user information for SRIDHAR02. The main content area is titled 'Guarantee Advice - Scrutiny :: Application No: 300GTEA000030728'. A left-hand menu shows the navigation path: Main Details, Guarantee Preferences, Documents and Instructions, **Additional Fields**, Additional Details, and Summary. The 'Additional Fields' section is active, showing a message: 'No Additional fields configured!'. At the bottom, there is a row of action buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, and Next. An 'Audit' button is located at the bottom left of the main content area.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information regarding the Guarantee Advise. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Guarantee Advice Closure DE inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Back	Click Back to move to previous step in DE stage.	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Advices

This section defaults the advices for Closure of Guarantee/SBLC Advise, based on the advices maintained at the Product level.

DE User can view the advices generated for Closure of Guarantee/SBLC Advise request. Some of the possible advices are Closure of Guarantee/SBLC Issued and Payment Message.

The screenshot displays the Oracle Financials interface for a Guarantee Advice Closure. The sidebar on the left contains navigation links: Main Details, Additional Fields, Advices (selected), Additional Details, Settlement Details, and Summary. The main content area is titled 'Advices' and shows two advice cards. The first card, 'Advice : LC_CLOSE_ADV', displays details: Advice Name : LC_CLOSE_ADV, Advice Party : , Party Name : , Suppress : YES, and Advice : . The second card, 'Advice : PAYMENT_MESSAGE', displays details: Advice Name : PAYMENT_MESSAGE, Advice Party : , Party Name : , Suppress : NO, and Advice : . The top of the interface includes the Oracle logo, 'My Tasks', and user information for SRIDHAR02. The bottom of the interface features a row of buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

The user can also suppress the Advice, if required.

Advice Details

Advice Details

Suppress Advice

☐

Party ID

001044

Advice Name

GUA_CLAIM_ADV

Medium

MAIL

Advice Party

APP

Party Name

GOODCARE PLC

FFT Code

+

-

No data to display.

Instructions

OK

Cancel

Field	Description	Sample Values
Suppress Advice	Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click minus icon to remove any existing FFT code.	

Instruction Details

Field	Description	Sample Values
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information regarding the Guarantee Advise. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Guarantee Advice Closure DE inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	Click Back to move to previous step in DE stage.	

Additional Details

DE User can view the Additional Details during Guarantee Advise Closure request. Some of the possible additional details could be:

- Limits and Collateral (Non-editable)
- Commission, Charges and Taxes
- Preview Messages (Non-editable)

Charge Details

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Provide the Charge Details based on the description provided in the following table:

Commission,Charges and Taxes ×

Recalculate

Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1 (0 of 0 items) < 1 >

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										

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Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account

Save & Close Cancel

Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	

Field	Description	Sample Values
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFSM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be 'Beneficiary' by Default. You can change the value to Applicant.	
Settlement Account	Details of the Settlement Account.	

Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be beneficiary by default. You can change the value to applicant	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Provide the Tax Details based on the information in the following table:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	

Field	Description	Sample Values
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. You can edit the same.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement Account.	
Charges from Beneficiary	Input the amount to be collected from beneficiary on account of this transaction.	

Limits and Collaterals

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTfPM) and should Earmark the limit from the Back office.

Limit & Collateral
×

Limit Details
+

☐	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
☐	001044		100	GBP	£11,000.00			001044	

Cash Collateral Details
+

Collateral Percentage *

▼

▲

Collateral Currency and amount

GBP ▼

Exchange Rate

1

▼ ▲

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response
1	USD	PK20010440019		67	NaN		VN	

Save & Close

Close

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office

Limit Details

Limit Details

Customer Id

001044

Q

Line ID *

001044

Q

Contribution % *

100.0

▼

▲

Limits Description

Contribution Currency

GBP

Contribution Amount *

£11,000.00

Limit Currency

GBP

Limit Available Amount

£999,528,418,464.36

Limit Check Response

Available

Response Message

The Earmark can be performed as the f

Expiry Date

Verify

Save & Close

Close

Field	Description	Sample Values
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Limit Details	Read Only field. Customer ID: Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	Read Only field. The various lines available and mapped under the customer id.	
Contribution %	Read Only field. System will default this to 100%.	
Contribution Currency	Read Only field. The guarantee currency will be defaulted in this field.	
Contribution Amount	Read Only field. Contribution amount will default based on the contribution%.	
Limit Currency	Read Only field. Limit Currency will be defaulted in this field.	

Field	Description	Sample Values
Limit Available Amount	Read Only field. This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Read Only field. Response can be 'Success' or 'Limit not Available'.	
Response Message	Read Only field. Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Collateral Details

Limits & Collaterals is non-editable.

Collateral Details

Total Collateral Amount *

\$67.00

Sequence Number

2.0

Collateral Contribution Amount *

\$67.00

Settlement Account Currency

GBP

Contribution Amount in Account Currency

£0.00

Response

VS

Verify

Collateral Amount to be Collected *

\$0.00

Collateral Split % *

100.0

▼

▲

Settlement Account *

PK1000327018

🔍

Exchange Rate

1.3

▼

▲

Account Available Amount

£99,999,393,343.91

Response Message

The amount block can be performed as:

✓ Save & Close

✕ Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	The percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	Read only field. System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	Read only field. System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	The collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Read Only field. The settlement account for the collateral.	
Settlement Account Currency	Read Only field. The Settlement Account Currency.	

Field	Description	Sample Values
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	
Collateral %	Read Only field. The percentage of collateral to be linked to this transaction. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Currency	Read Only field. The guarantee currency will get defaulted in this field.	
Contribution Amount	Read Only field. Collateral contribution amount will get defaulted in this field. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Account Balance Check Response	This field displays the account balance check response.	
Response	Read Only field. Response can be 'Success' or 'Amount not Available'.	

Field	Description	Sample Values
Response Message	Read only field. Detailed Response message.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information regarding the Guarantee Advise. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Guarantee Advice DE inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others	
Back	Click Back to move to previous step in DE stage.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Settlement Details

The user can view the settlement details during Closure of Guarantee advised request.

The screenshot displays the Oracle Financials Settlement Details interface. The top navigation bar includes the Oracle logo, 'My Tasks', and user information (SRIDHAR02, subham@gmail.com). The main content area is titled 'Guarantee Advice Closure - DataEnrichment :: Application No: PK2GTAC000056649'. The left sidebar shows a navigation menu with options: Main Details, Additional Fields, Advices, Additional Details, Settlement Details (selected), and Summary. The main area is divided into two sections: 'Settlement Details' and 'AGUIR_COMM_LQPP - Party Details'. The 'Settlement Details' section contains a table with the following data:

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AGUIR_COM1_LIQD	GBP	Debit	PK20010440017		GBP		N
AGUIR_COMM_LIQD	GBP	Debit	PK20010440017		GBP		N
AGUIR_COMM_LQPP	GBP	Debit	PK20010440017		GBP		N
AVL_SET_LCAMT	GBP	Debit	PK20010440017		GBP		N
AVL_SET_LCAMTEQ	GBP	Credit	PK20010440017		GBP		N
CLAIM_SETTLE_AMT	GBP	Credit	PK20010410027		GBP		N
COLLAMT_OSEQ	GBP	Debit	PK20010440017		GBP		N
COLL_AMNDAMTEQ	GBP	Debit	PK20010440017		GBP		N
COLL_AMTEQ	GBP	Debit	PK20010440017		GBP		N
COLL_AVALAMTEQ	GBP	Credit	PK20010440017		GBP		N
COLL_REFUND	GBP	Credit	PK20010440017		GBP		N

The 'AGUIR_COMM_LQPP - Party Details' section includes fields for Transfer Type (None), Charge Details (Remitter All Charges), Netting Indicator, Ordering Customer, Ordering Institution, Senders Correspondent, Receivers Correspondent, Intermediary Institution, Account With Institution, Beneficiary Institution, Ultimate Beneficiary, and Intermediary Reimbursement Institution. At the bottom, there are buttons for Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

The following fields should be displayed during Closure of Guarantee/SBLC Issued:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	

Field	Description	Sample Values
Component	Read only field. System defaults the components based on the product selected.	
Currency	Read only field. System displays the currency for components.	
Debit/Credit	Read only field. System defaults the debit/credit indicators for the components.	
Account	Read only field. System displays the account number chosen.	
Account Description	Read only field. System displays the account description for the account chosen.	
Account Currency	Read only field. System displays the account currency for all items based on account number.	
Netting Indicator	Read only field. System displays the applicable netting indicator.	
Current Event	Read only field. System displays the current event a Y or N.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer	

Field	Description	Sample Values
Charge Details	Select the charge details for the transactions: - Beneficiary All Charges - Remitter Our Charges - Remitter All Charges	
Netting Indicator	Select the netting indicator for the component: - Yes - No	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	

Field	Description	Sample Values
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information regarding the Guarantee Advise. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Guarantee Advice DE inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Back	Click Back to move to previous step in DE stage.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Summary

User can review the summary of details updated in DE Guarantee Advice Closure request. When you log in to Oracle Banking Trade Finance Process Management (OBTfPM) system, you can see the summary

tiles. The tiles must display a list of important fields with values. User can drill down from summary tiles into respective data segments.

The screenshot shows the Oracle application interface for 'Guarantee Advice Closure'. The top navigation bar includes the Oracle logo, 'My Tasks', and user information (SRIDHAR02, subham@gmail.com). The main content area is titled 'Summary' and contains several data segments:

- Main Details:** SBL/Guarantee Type : **BILL**, Submission Mode : **Desk**, Date of Issue : **2019-03-22**
- Additional Fields:** Click here to view Additional fields
- Advices:** Advice 1, Advice 2
- Limits and Collaterals:** Limit Currency, Limit Contribution, Limit Status : **Not Verified**, Collateral Currency : **GBP**, Collateral Contr. : **2700**, Collateral Status : **Not Verified**
- Commission, Charges and Taxes:** Charge, Commission, Tax, Block Status : **Not Initia**
- Preview Message:** Language : **ENG**, Preview Message : -
- Parties Details:** Beneficiary : **GOODCARE PLC**, Applicant : **Blackworth**, Confirming Bank : **CITIBANK**
- Settlement Details:** Component, Account Number, Currency
- Accounting Details:** Event : **GCLM**, Account Number : **PK10000154**, Branch : **PK1**

The bottom of the screen features an 'Audit' button and a row of action buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, Next, and Submit.

Tiles Displayed in Summary

- Main Details - User can view application details and Guarantee Advice Closure details.
- Additional Fields - User can view the additional field details.
- Advices - User can view the advices details.
- Settlement Details: User can view the Settlement details.
- Commission, Charges and Taxes - User can view the details provided for commission, charges and taxes.
- Preview Message : User can view the SWIFT message and Mail Advice.
- Party Details - User can view party details like beneficiary, advising bank etc.
- Accounting Details: User can view the accounting details.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	

Field	Description	Sample Values
Remarks	Click the Remarks icon to provide any additional information regarding the Guarantee Advise. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Submit	Task will get moved to next logical stage of Guarantee Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the DE Stage Inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Back	Click Back to move to previous step in DE stage.	

Multi Level Approval

User can review and approve the Guarantee Advise Closure. The user can view the summary of details updated in multilevel approval stage for Guarantee Advise Closure request.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Authorization Re-Key (Non-Online Channel)

For non-online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Currency
- Contract Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

Approval Rekey

Documents Remarks

Currency
USD ✓

Contract Amount
\$1,000.00 ✓

Refer Close Proceed

Approval Summary

Free Tasks

(DEFAULTTENITY)
(PK2) May 8, 2019
SRIDHAR01
subham@gmail.com

Guarantee Advice Closure - Approval Task Level 1 :: Application No: PK2GTAC000056649
View Undertaking

Main Details	Additional Fields	Advices	Limits and Collaterals	Commission,Charges and Taxes
SBLC/Guarantee Type : BILL Submission Mode : Desk Date of Issue : 2019-03-22	Click here to view : Additional fields	Advice 1 : Advice 2 :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 2700 Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia
Preview Message	Parties Details	Settlement Details	Accounting Details	
Language : ENG Preview Message : +	Beneficiary : GOODCARE PLC Applicant : Blackworth Confirming Bank : CITIBANK	Component : Account Number : Currency :	Event : GCLM Account Number : PK10000154 Branch : PK1	

Audit
Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view application details and Guarantee/SBLC Closure details.
- Additional Fields - User can view the additional field details.
- Advices - User can view the advices details.
- Settlement Details: User can view the Settlement details.
- Commission, Charges and Taxes - User can view the details provided for commission, charges and taxes.
- Preview Message - : User can view the SWIFT message and Mail Advice.
- Party Details - User can view party details like beneficiary, advising bank etc.
- Accounting Details: User can view the accounting details.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Guarantee Advice approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Customer - Acknowledgement letter Format

Customer Acknowledgment is generated every time a new Guarantee Advise is requested from the customer. The acknowledgment letter format is as follows:

To:

<CUSTOMER NAME>DATE: DD-MM-YYYY

<CUSTOMER ADDRESS>

Dear Sir,

SUB: Acknowledgement to your Closure of Guarantee Advise Application number <CUSTOMER REFERENCE NUMBER> dated <APPLICATION DATE>

This letter is to inform you that we have received your application for Closure of Guarantee Advise with the below details:

APPLICANT: <APPLICANT NAME>

BENEFICIARY NAME: <BENEFICIARY>

CURRENCY: <CCY>

AMOUNT: <AMT>

DATE OF ISSUE: <DATE OF ISSUE>

We have registered your request. Please quote our reference < PROCESS REF NUMBER> in any future correspondence.

This acknowledgement does not constitute Closure of Guarantee/SBLC Issued.

Thank You for banking with us.

Regards,

<DEMO BANK>

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Customer - Reject Letter Format

Reject Letter is generated by the system and addressed to the customer, when a task is rejected by the user. The Reject Letter format is as follows:

FROM:

<BANK NAME>

<BANK ADDRESS>

TO:

DATE <DD/MM/YYYY>

<CUSTOMER NAME>

<CUSTOMER ADDRESS>

<CUSTOMER ID>

Dear Sir,

SUB: Your Guarantee Application for Closure of Guarantee Advise <User Ref> under our <Process Reference Number> under our Process Ref <Process Ref No> - Rejected

Further to your recent Closure of Guarantee Advise application request dated <Application Date –DD/MM/YYYY>, under our process ref no <process ref no>, this is to advise you that we will not be able to close the required Guarantee Advise.

After a thorough review of your application and the supporting documents submitted, we have concluded we will not be able to issue the Guarantee due to the below reasons:

<Reject Reason 1>

<Reject Reason 2>

<Reject Reason 3>

On behalf of Demo Bank, we thank you for your ongoing business and trust we will continue to serve you in future.

For any further queries about details of your Closure of Guarantee/SBLC Issued application review, please contact us at our bank customer support ph.no xxxxxxxxxxxx

Yours Truly

Authorized Signatory

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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